



Auditing to build public confidence

17 April 2018

Progress report

General capacity requirements for SAIs for conducting IT audits

Our reputation promise

The Auditor-General of South Africa has a constitutional mandate and, as the Supreme Audit Institution (SAI) of South Africa, exists to strengthen our country's democracy by **enabling oversight, accountability** and **governance** in the **public sector** through **auditing**, thereby building public confidence.



Outline

1

Introduction

2

Project background

- Recap

3

Project activities
since the last
meeting

4

Project progress

- Revised guide layout

5

Project way forward

- Revised project plan
- Revised timelines

6

Input sought from
the WG

Project background - recap

Action	Date	Comments
SAI –South Africa agreed to lead Project 1 “General conditions for IT support for Audits (Area: Capacity Development)	June 2016	The following SAIs volunteered to be team members of Project 1: <i>Bangladesh, India, Iran, Iraq, Korea, Mexico, Poland and Afrosai -E</i>
Project 1 Justification / rationale document	August 2016	During compilation of the project initiation document, a focussed research and unpacking of the project title ensued. The “justification / rationale submitted by SAI – India was used as basis and guide.
Draft Project Initiation and Synopsis documents submitted for consideration	September 2016	The submitted documents proposed that the title of the project be changed to “General requirements for SAIs for conducting IT Audits”. Input were received from Afrosai-E, Bangladesh, India and Mexico.
Provisional approval and circulation of the Project Initiation Document	November 2016	Project 1 team members were notified about the submission and feedback from the Chair of the Workgroup.

Project background – recap (cont..)

Action	Date	Comments
Draft Project 1 Plan		
Draft – Guidance Layout (deliverable)	February - March 2017	The draft project plan and guidance layout were submitted to the Team members and the workgroup for consideration during the progress meeting
Presentation of the project progress report during the 26th WGITA meeting	May 2017	Project progress was noted
Held a side-meeting to agree on the proposed approach for Project 1	May 2017	Noted team members input for further action

Activities since the last WGITA

May – December 2017

Email requesting team members to indicate their preferred role in the project was sent

Received Quality assurance of non-IFPP documents - WGITA Projects

Project communication updating members about the decisions and proposals put forward during the side-meeting and for non-IFPP.

Forwarded a request to the Chair of the workgroup to set-up a community of practice / portal for Project 1.

January – March 2018

Revised the guidance layout and project timelines

Commenced with drafting of Chapter 1, 2 & 4

Compile Project 1 progress report for the 27th WGITA project progress meeting

1st draft of Chapter 2 submitted to the project lead for input. Input has since been submitted.

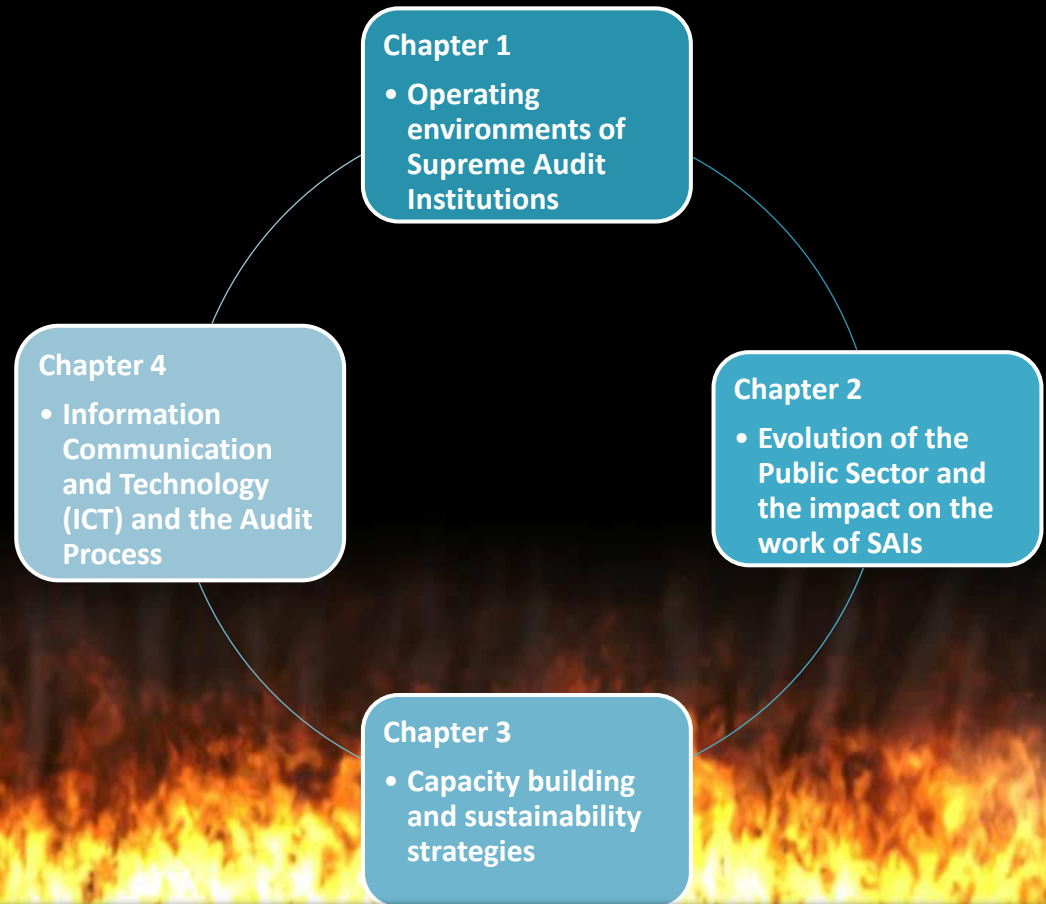
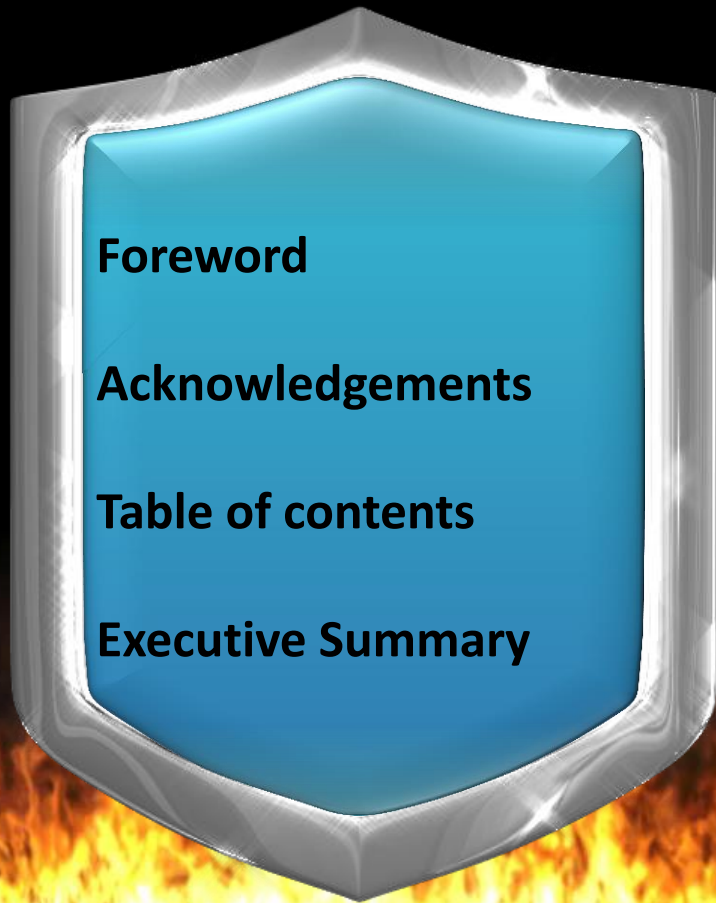
Drafting of Chapter 1 & 4 still work in progress

April – June 2018

Present Project 1 progress report during the 27th WGITA progress meeting

Facilitate and coordinate Project 1 side-meeting

Project status – revised guide layout



Project status – content and chapters

Chapter - 1



Operating environments of Supreme Audit Institutions

Draft

Chapter - 2



Evolution of the Public Sector and the impact on the work of SAIs

Draft

Chapter - 3



Capacity building and sustainability strategies

Pending

Chapter - 4



Information Communication and Technology (ICT) and the Audit Process

Draft

Way forward

STEP 1

1. Draft project overview and introductory chapters for input.
2. Chapters to address the following key components of the guide:
 - SAI mandates and fit for purpose org. structures (focussing on SAI mandate to perform IT Audits)
 - Capacity and resources for conducting IT Audits
 - Tools
 - Skills and competency of staff
 - Training and knowledge management matters
 - IT infrastructure and systems

STEP 2

1. First submission of written chapters.
2. Circulate the reviewed chapters for input by participating member SAIs.

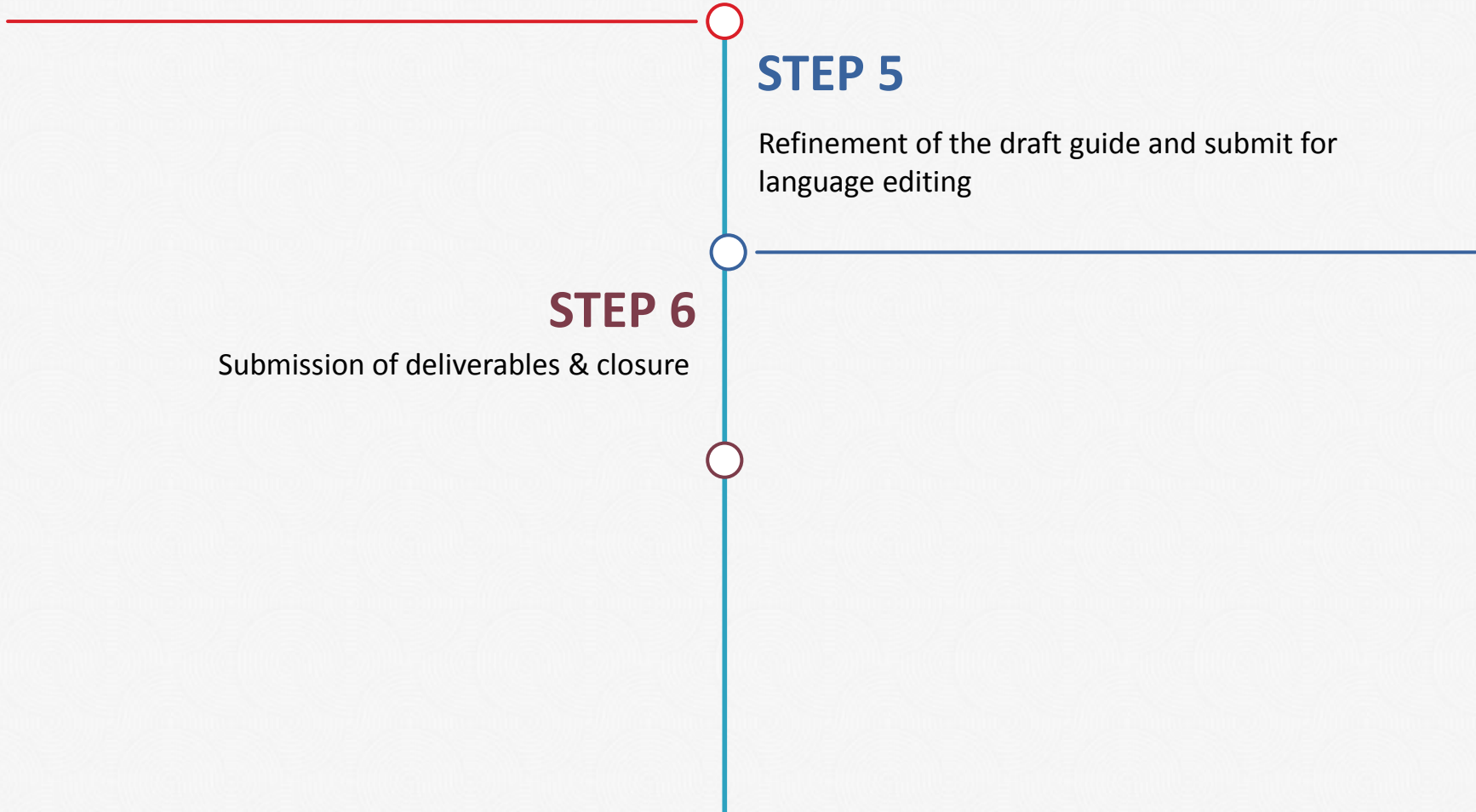
STEP 3

Consolidation and harmonisation of reviewed chapters into the guidance document.

Way forward (cont..)

STEP 4

Level -3 QA Due Process of IFPP



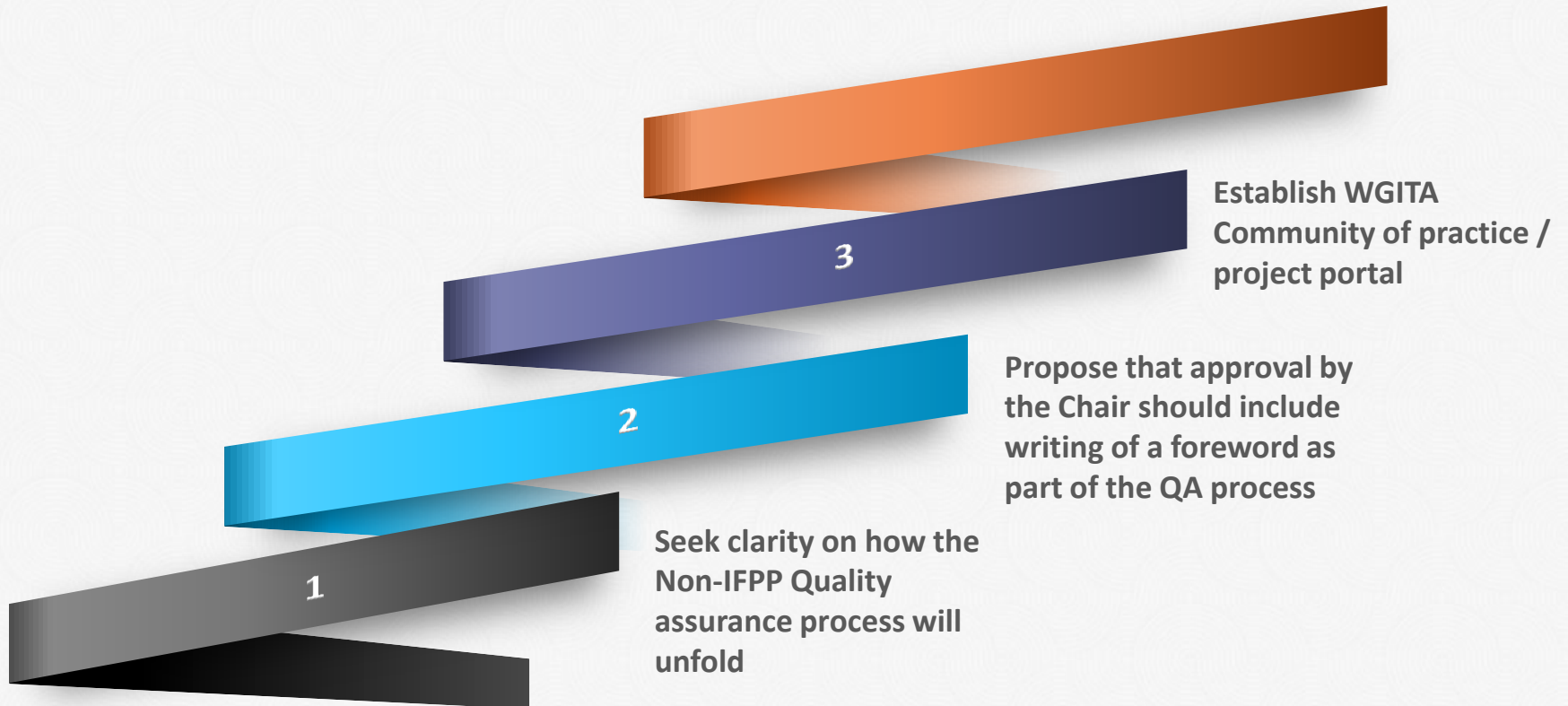
STEP 5

Refinement of the draft guide and submit for language editing

STEP 6

Submission of deliverables & closure

Input sought from the working group





THANK
YOU



AUDITOR-GENERAL
SOUTH AFRICA